

Practitioner's Docket No. **250317-1100**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Number: **7,053,546**

Issued: **May 30, 2006**

Name of Patentee: **Hu et al.**

Title of Invention: **PLASTIC SUBSTRATE, FABRICATION METHOD THEREOF
AND DEVICE FOR USING THE SAME**

**Mail Stop PETITIONS
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450**

**REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT
FOR APPLICANT'S MISTAKE (37 C.F.R. § 1.323) AND
REQUEST FOR ISSUANCE OF PATENT TO JOINT ASSIGNEES AFTER
PAYMENT OF ISSUE FEE (37 C.F.R. § 3.81 (b))**

In response to the Decision dismissing Applicant's previous Request for Certificate of Correction in the above-referenced patent, the Undersigned submits hereto the deficient documents listed as A and C in the Decision. A copy of the decision is attached hereto.

1. The Assignment Recordation Date is January 21, 2004, and located at Reel/Frame 014900/0087. A copy of the document is attached hereto.
2. Attached is Form PTO-1050, with at least one copy being suitable for printing.
3. Credit Card Authorization for the fee of \$130.00, as set forth in 37 CFR 1.17(I).

The issue fee for this application was submitted on April 4, 2006, by facsimile. As the assignment listing the joint assignees was recorded over two years before this submission,

then pursuant to 37 CFR 3.81(b), the requested Certificate of Correction (pursuant to 37 CFR 1.323 – applicant’s mistake) is appropriate.

The exact page and line number where the error occurs in the application file are:

Title Page:

Item 73, Assignee should read:

*-- Chi Mei Optoelectronics Corporation, Tainan (TW)
Kyocera Corporation, Kyoto (JP) --*

Please send the Certificate to:

Name: **Daniel R. McClure**

Address: **Thomas, Kayden, Horstemeyer & Risley, LLP**
100 Galleria Parkway, N.W., Suite 1500, Atlanta, GA 30339

The fee of \$100.00, as required by 37 CFR 1.323, was previously submitted in the original filing of the Request for Certificate of Correction.

If any additional fee is required, you are hereby authorized to charge such additional fee to deposit account no 20-0778.


SIGNATURE OF PRACTITIONER

Daniel R. McClure

(type or print name of practitioner)

Tel. No.: (770) 933-9500

Thomas, Kayden, Horstemeyer & Risley, LLP
100 Galleria Parkway, N.W., Suite 1750
Atlanta, GA 30339-5948

Customer No.: 24504



UNITED STATES PATENT AND TRADEMARK OFFICE

250317-1100

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

Patent No. : 7,053,546
Serial No. : 10/633,666
Inventor(s) : Hu et al.
Issued : May 30, 2006
Title : **PLASTIC SUBSTRATE, FABRICATION METHOD
THEREOF AND DEVICE USING THE SAME**
Docket No. : ~~250321-1010~~

Re: Request for Certificate of Correction

Consideration has been given your request for the issuance of a certificate of correction for the above-identified patent under the provisions of 37 CFR 1.322 and/or 1.323.

Assignees' and/or Co-assignees' names and addresses (assignment data) printed in a patent are based *solely* on information supplied in the appropriate space for identifying the assignment data, i.e., item 3 of the Fee(s) Transmittal Form PTOL-85B. After payment of the issue fee, correction of assignment data submitted on the PTOL-85B can only be done by Certificate of Correction under 37 CFR 1.323, with a request under 37 CFR 3.81(b).

A request for a patent to be corrected to state the name of the assignee must:

- A. state that the assignment was submitted for recordation as set forth in 37 CFR 3.11 before issuance of the patent;
- B. include a request for a certificate of correction under 37 CFR 1.323 along with the fee set forth in 37 CFR 1.20(a); and
- C. include the processing fee set forth in 37 CFR 1.17(i).

If the request is granted, Certificates of Correction Branch will be notified that a Certificate of Correction may be issued.

See Manual of Patent Examining Procedure, Section 1481.01 (Rev. 3) (Oct. 2005).

Applicant has not included items A and or C above, accordingly, the request for Certificate of Correction to add or change the assignee data is dismissed.

Any request under 37 CFR 3.81(b) should be directed to the following address or facsimile number:

By mail: Mail Stop PETITIONS
Commissioner for Patents
Post Office Box 1450
Alexandria, VA 22313-1450

SCANNED

THOMAS KAYDEN
HORSTEMEYER & RISLEY, LLP

SEP 11 2006

By hand: Customer Service Window
Mail Stop Petitions
Randolph Building
401 Dulany Street
Alexandria, VA 22314

By fax: 571-273-8300
ATTN: Office of Petitions

If a fee (currently \$100) was previously submitted for consideration of a Request for Certificate of Correction, under CFR 1.323, to correct assignment data, no additional fee is required.

Ernest C. White, LIE

For Cecelia Newman
Decisions & Certificates
of Correction Branch
(703)

308-9390 x 122 or (703) 305-8228

THOMAS, KAYDEN, HORSTEMEYER & RISLEY, LLP
100 GALLERIA PARKWAY, NW
STE 1750
ATLANTA GA 30339-5948

ecw



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JULY 27, 2004

PTAS
THOMAS, KAYDEN, HORSTEMEYER, ET AL
DANIEL R. MCCLURE
100 GALLERIA PARKWAY, SUITE 1750
ATLANTA, GEORGIA 30339-5948



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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/21/2004

REEL/FRAME: 014900/0087
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 2503211010

ASSIGNOR:

CHI MEI OPTOELECTRONICS
CORPORATION

DOC DATE: 12/26/2003

ASSIGNEE:

CHI MEI OPTOELECTRONICS
CORPORATION
NO. 1, CHI-YEH ROAD, HSIN-SHIH
VILLAGE
TAINAN SCIENCE BASED INDUSTRIAL
PARK
TAINAN COUNTY, TAIWAN R.O.C.

ASSIGNEE:

KYOCERA CORPORATION
6 TAKEDA TOBADONO-CHO
FUSHIMI-KU, KYOTO 612-8501, JAPAN

014900/0087 PAGE 2

SERIAL NUMBER: 10633666

FILING DATE: 08/04/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: PLASTIC SUBSTRATE, FABRICATION METHOD THEREOF AND DEVICE USING THE
SAME

ALLYSON PURNELL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

01-23-2004

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Director of the United States Patent and T.

102653333

1 documents or copy thereof.

1. Name of conveying part(ies):

Chi Mei Optoelectronics Corporation

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: December 26, 2003; January 15, 2004

2. Name and address of receiving party(ies)

Name: Chi Mei Optoelectronics Corporation

Street Address: No. 1, Chi-Yeh Road, Hsin-Shih
Village, Tainan Science-based
Industrial Park
Tainan County, Taiwan, R.O.C.

Name: Kyocera Corporation

Street Address: 6 Takeda Tobadono-cho,
Fushimi-Ku
Kyoto 612-8501, JapanAdditional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent application No.(s)

10/633,666

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Daniel R. McClure
Thomas, Kayden, Horstemeyer & Risley, L.L.P.
100 Galleria Parkway, Suite 1750
Atlanta, Georgia 30339-5948

6. Total number of applications/patents involved: [1]

7. Total fee (37 CFR 3.41) \$ 40.00

- ☐ Enclosed
☒ Authorization to charge credit card (attached)

8. Deposit Account Number:

20-0778

(Attach duplicate copy of this page if paying by Deposit Account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David Rodack

Typed Name

Signature

January 16, 2004

Date

Docket #: 250321-1010

Total number of pages including cover sheet, attachments, and document: [2]

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services

Director of the United States Patent and Trademark Office

P.O. Box 1450

Alexandria, Virginia 22313-1450

01/22/2004 LMJELLER 00000142 10633666

01 FC:0021

40.00 00

ASSIGNMENT OF PATENT

WHEREAS, Chi Mei Optoelectronics Corporation having a place of business at No. 1, Chi-Yeh Road, Hsin-Shih Village, Tainan Science-based Industrial Park, Tainan County, Taiwan, R.O.C., hereinafter referred to as CHI MEI, owns all right, title, and interest in U.S. Patent Application Serial Number 10/633,666, filed on August 4, 2003, and entitled PLASTIC SUBSTRATE, FABRICATION METHOD THEREOF AND DEVICE USING THE SAME

WHEREAS, Kyocera Corporation, a Japanese corporation having a place of business at 6 Takeda Tobadono-cho, Fushimi-ku, Kyoto 612-8501, Japan, hereinafter referred to as KYOCERA, is desirous of acquiring a portion of CHI MEI's interest in and to said U.S. patent application.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged by CHI MEI, CHI MEI does hereby sell, assign and transfer unto KYOCERA and KYOCERA'S successors and assigns a one-half interest (as joint tenant), retaining one-half interest for itself (CHI MEI) in the entire right, title and interest, for the United States of America, in and to said U.S. patent application.

CHI MEI and KYOCERA hereby agree to act in good faith (with respect to the rights of the other party) with respect to the enforcement of any enforceable right of the U.S. patent application.

CHI MEI OPTOELECTRONICS CORPORATION

By: Jau-Yang Ho
Name: Jau-Yang Ho
Title: President
Date: Dec 24, 2003

KYOCERA CORPORATION

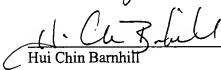
By: Yasuo Nishiguchi
Name: Yasuo Nishiguchi
Title: President
Date: Dec 25, 2003

CERTIFICATE OF MAILING

I hereby certify that the below listed items are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

**Mail Stop Assignment Recordation Services
Director of the United States Patent and Trademark Office
P.O. Box 1450
Alexandria, Virginia 22313-1450**

on January 16, 2004.


Hui Chin Barnhill

In Re Application of: Hu et al.

Group Art Unit: 2879

Serial No.: 10/633,666

Examiner: Unassigned

Filed: August 4, 2003

Docket No.: 250321-1010

For: Plastic Substrate, Fabrication Method Thereof and Device Using the Same

The following is a list of documents enclosed:

Return Postcard
Recordation Form Cover Sheet
Assignment of Patent
Check in the amount of \$40.00

Further, the Commissioner is authorized to charge Deposit Account No. 20-0778 for any additional fees required. The Commissioner is requested to credit any excess fee paid to Deposit Account No. 20-0778.

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 7,053,546
APPLICATION NO.: 10/633,666
ISSUE DATE : May 30, 2006
INVENTOR(S) : Hu et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title Page:

Item 73, Assignee should read:

-- Chi Mei Optoelectronics Corporation, Tainan (TW)
Kyocera Corporation, Kyoto (JP) --

MAILING ADDRESS OF SENDER (Please do not use customer number below):

THOMAS, KAYDEN, HORSTEMEYER & RISLEY, L.L.P.
100 Galleria Parkway, Suite 1750
Atlanta, Georgia 30339

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.